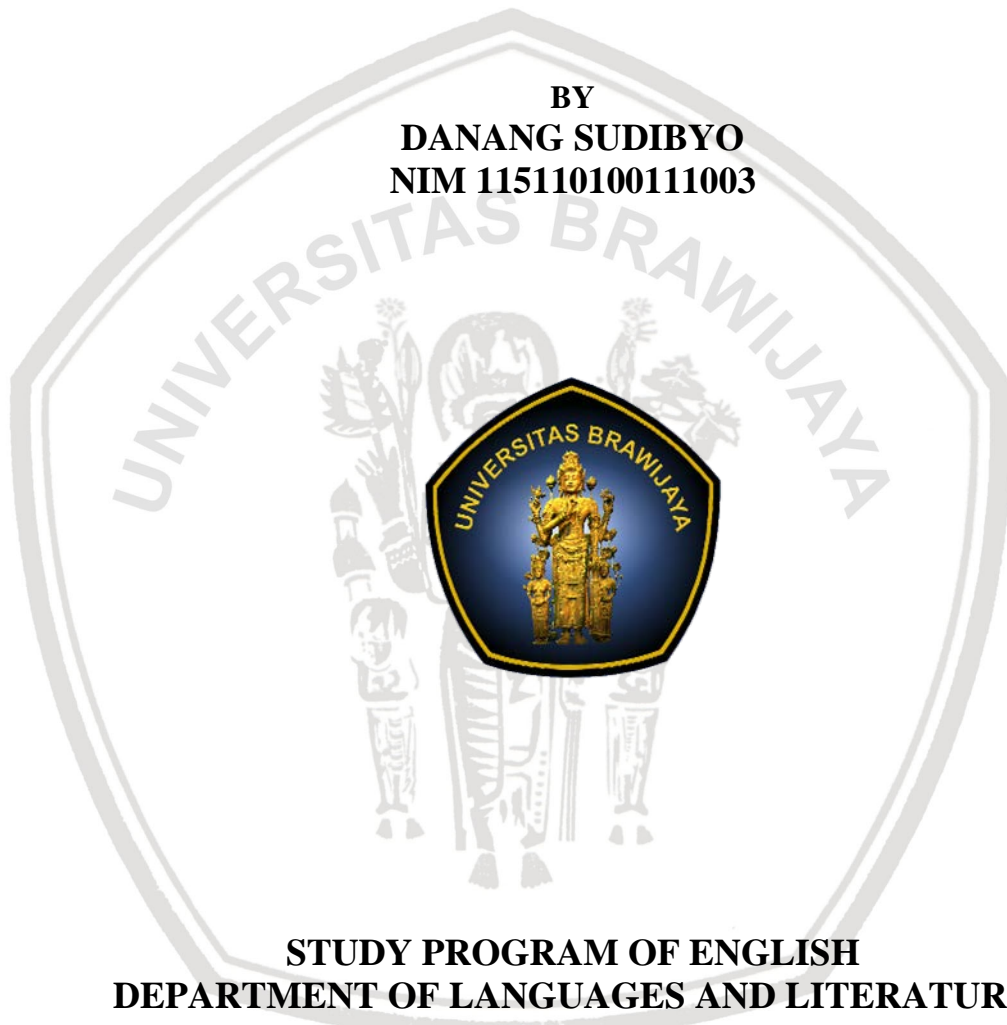


**PERSONALITY DEVELOPMENT OF THE MAIN CHARACTER  
IN THE MOVIE : *THE SHAWSHANK REDEMPTION***

**UNDERGRADUATE THESIS**

**BY  
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**STUDY PROGRAM OF ENGLISH  
DEPARTMENT OF LANGUAGES AND LITERATURE  
FACULTY OF CULTURAL STUDIES  
UNIVERSITAS BRAWIJAYA  
2018**

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**UNDERGRADUATE THESIS**

**Presented to  
Universitas Brawijaya  
in partial fulfillment of the requirements  
for the degree of *Sarjana Sastra***

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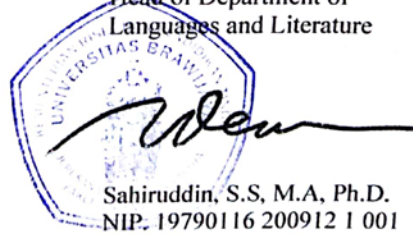
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## ABSTRACT

Sudiby, Danang. 2018. **Personality Development of Main Character in the Movie : *The Shawshank Redemption*** Study Program of English, Department of Languages and Literature, Faculty of Cultural Studies, Universitas Brawijaya. Supervisor: Henny Indarwaty, S.S.,M.A

**Keywords:** Carl Rogers, Personality, Personality development, Main Character.

Every human has their own personality as the identity of the self. And it can be develop during the journey of our life. The development of personality can be happened depend on the basic needs of human being, environment, society and ourselves. In this research, I try to analyze about personality development of Andy Dufresne in *Shawshank Redemption* film.

I use Carl Rogers' personality development as the grounding theory to analyze Andy Dufresne's Personality during his life in the Shawshank's prison. The analysis is about how Andy has grown from a weak person into the most influential person in the prison. Rogers said that in order to becoming a fully functional person we need to actualized ourselves as a person. Self-Actualitation can happen if need of maintaining and the need of enhancement had already been fulfilled.

The result of this study represent that Andy Dufresne has really great development during the prison. it is because his basic needs is fulfilled, the need of enhancement is also fulfilled hence Andy can actualized himself as a person and he become a fully functional person at the end of the film.



## ABSTRAK

Sudiby, Danang. 2018. **Personality Development of Main Character in the Movie : *The Shawshank Redemption***. Program Studi Sastra Inggris, Jurusan Bahasa dan Sastra, Fakultas Ilmu Budaya, Universitas Brawijaya. Pembimbing: Henny Indarwaty, S.S.,M.A

**Kata Kunci:** Carl Rogers, Personality, Personality development, Main Character.

Setiap manusia memiliki kepribadian mereka sendiri sebagai identitas diri. Dan itu bisa berkembang selama perjalanan hidup kita. Perkembangan kepribadian dapat terjadi tergantung pada kebutuhan dasar manusia, lingkungan, masyarakat dan diri kita sendiri. Dalam penelitian ini, saya mencoba menganalisis tentang perkembangan kepribadian Andy Dufresne dalam film *Shawshank Redemption*.

Saya menggunakan pengembangan kepribadian Carl Rogers sebagai teori landasan untuk menganalisis Kepribadian Andy Dufresne selama hidupnya di penjara Shawshank. Analisisnya adalah tentang bagaimana Andy telah tumbuh dari orang yang lemah menjadi orang yang paling berpengaruh di penjara. Rogers mengatakan bahwa untuk menjadi orang yang berfungsi penuh kita perlu mengaktualisasikan diri sebagai manusia. Aktualisasi diri dapat terjadi jika kebutuhan pemeliharaan dan kebutuhan peningkatan telah terpenuhi.

Hasil dari penelitian ini menunjukkan bahwa Andy Dufresne memiliki perkembangan yang sangat baik selama penjara. itu karena kebutuhan dasarnya terpenuhi, kebutuhan peningkatan juga dipenuhi sehingga Andy dapat mengaktualisasikan dirinya sebagai pribadi. dan menjadi orang yang berfungsi penuh di akhir film.



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# APPENDICES

## Appendix 1: Berita Acara Bimbingan Skripsi



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## CHAPTER I

### INTRODUCTION

This chapter will explain about background of study, synopsis of *Shawshank Redemption* movie, problems of study and objectives of study.

#### 1.1 Background of Study

Fear can hold you prisoner, Hope can set you free. This inspirational words from *Shawshank Redemption*'s film can make us feel that anything can be reached if we have Hope in our heart. That is one of many quotation from Film that can be learned and use in real life. It makes film is the most popular Art nowadays.

Aside from the speech and the story of the film, character of the film also has an important role to make the film become more interesting. Such as how they look like, what they wear, and most important thing is their personality. Character personality become such of an important aspect of the film because its can give value or lesson to the watcher especially when they make a development during the story progression. For example andy dufresne as the major character of *Shawshank Redemption*'s movie who had made a personality development during the story.

*Shawshank Redemption*'s movie is dramatic film released by Warner Bross on September 23, 1994 in United Kingdom. The duration of this film is 2 hours and 13 minutes. The film begins with andy dufresne being capture because of the crime that he did not do, that is kill his wife and her lover. He is sent to shawshank prison because of that. At the prison he befriends with Red (Morgan

Freeman). His pre-prison, professional life as a banker and his knowledge of accounting and income taxes earns him the trust of captain of the guard, captain hadley and eventually, the warden.

Andy had secret dream of getting away from the life of prison and he was continually gaining faith of the security men for almost 20 years with his financial tax saving tips along with the revival of Shawshank prison library. Nobody would have ever dreamt of what plans he carries on his mind. For 20 years, he kept digging a passage in his cell in night using a rock hammer and everything he did in those 20 years actually was a part of a long-term plan with the only objective of freedom. To live as a freeman was his right as he was innocent. He made his choice and lived up to it. And finally he can escape from Shawshank prison and life freely in an island in Mexico.

This film is inspirative because the main story is about hope, struggle, friendship and leadership. We can learn many things from this film. Learn to clear a problem, learn to be calm, and learn to be hope. Aside from that, its interesting to see Andy Dufresne development during in the jail. From nothing to be something.

Finally, by defining the whole body of background statement above, the researcher entertained in the film and intends to analyze more deeply into personality development of Andy Dufresne represented in Shawshank Redemption's film.

## 1.2 Problems of Study

According to IMDB *Shawshank Redemption's* movie is one of the best film of all the time. It always stands on the top of the list with 9.4 rating. Aside from the story that is well showed , the character of the film are also great especially Andy Dufresne as the major character. Firstly Andy was depressed after being captured and brought to the prison because of the crime that he never did but after several months he became the most influential character between the others prisoners. Based on the statement above the problem of study are as follows :

1. The causes of Andre Dufresne's personality development.
2. The effects of Andre Dufresne's personality development for himself and another characters in Shawshanks Redemption's film

## 1.3 Objectives of Study

Based on the problems of study above the objectives of the study are as follows :

1. To explain the caueses why Andy Dufresne develop his personality
2. To show the effect of Andy Dufresne personality development for people around him.



## CHAPTER II

### REVIEW OF RELATED LITERATURE AND RESEARCH METHOD

This chapter contains review on theoretical framework related with the problems of this research and previous study.

#### 2.1 Theoretical Framework

In theoretical Framework, some theory related to the study, which are psychological approach from Carl Roger on *theory of personality* as key term to understand how Andy Dufresne in *Shawshank Redemption*'s film develop his trait from depressed person into the most influential person in the jail. This approach is often called as Psychoanalysis proposed by Sigmund Freud. Theory about human psychological can be applied in analyzing characters in literature. Since self-actualization deal with human behavior and psychology, it will be appropriate to apply Psychological Approach.

##### 2.1.1 Character

Character is the most important part of any story including film. "a character is a person depicted in narrative or film" (Wiehardt, 2007, 1). There are several types of character, such as major, minor, dynamic, static, round and flat character. Each definition according to <http://learn.lexiconic.net/characters.htm> retrieved on May 29, 2017 :

- **Major Character** : characters are vital to the development and resolution of the conflict. In other words, the plot and resolution of the conflict resolves around these character.

- **Minor Character** : serve to complement the major character and help move the plot even forward.
- **Dinamic Character** : dynamic character is a person who **changes over time**, usually as a result of resolving a central conflict or facing a major crisis
- **Static character** : static character is someone who **does not change over time**; his or her personality does not transform or evolve.
- **Round character** : rounded character is anyone who has a **complex personality**; he or she is often portrayed as a conflicted and contradictory person.
- **Flat character** : flat character is the opposite of a round character. This literary personality is notable for **one kind of personality trait or characteristic**.

From the definition of several kind of characters above, the major character is the most influential character in a story or film. Despite of the resolution of the conflict that is around major character, the character personality also make the story more interesting.

In a film, character is constructed by some elements. According to Msanbach (2006, p.I), “the element of a character are physical description, background, personality, relationship, word and action, motivation, conflict, and change”. All of those elements have an influences to the character especially the



major character. It also can make the audience easy to get the purpose and the messages of the film.

### **2.1.2 Carl Roger's theory of personality**

Carl Rogers (1902-1987) was a humanistic psychologist who agreed with the main assumptions of Abraham Maslow, but added that for a person to "grow", they need an environment that provides them with genuineness (openness and self-disclosure), acceptance (being seen with unconditional positive regard), and empathy (being listened to and understood). Without these, relationships and healthy personalities will not develop as they should, much like a tree will not grow without sunlight and water. He also believes that every person could achieve their goals, wishes, and desires in life. When, or rather if they did so, *self actualization* took place.

The writer will elaborate Andy Dufresne character as the main object using Carl Rogers's self-actualization theory, which achieves the characteristic of a fully functioning person.

### **1.1.3 Self Concept**

The self-concept is our image of what we are, what we should be, and what we would like to be. The formation of the self-concept involves distinguishing what is directly and immediately a part of the self from the people, object, and events that are external to oneself. Self is the important component of human personality, in other words that is the term for who we really are as person,

it is our personal identity. Rogers (1959, p. 202) defines self-concept is the entirely conscious, and represents part of the tip of the constantly fountain of subjective experience.

#### 2.1.4 Self Actualization

Humans have one motives to actualize their self, to complete one's potential and achieve the highest level of human-being they can. They have a freedom to develop their behavior, a human is not a pawn, they have free will and potential to develop their self. According to Rogers (1951, p. 487), the organism has one basic tendency and striving, to actualize, maintain, and enhance the experiencing organism. The need for maintenance is includes such as basic needs as food, air, and safety, it also includes the tendency to resist change and to seek the existing status of self. The need for enhancing the self is seen in person willingness to learn things that are not immediately useful. Enhancement needs are expressed in a form of curiosity, playfulness, self-exploration, friendship, and confidence. It is all because human central motivation is to learn and to grow. It is all occurs when humans face problems, struggle improve new aspect of skills, capacities, and views about life.

In the course of chasing self-actualization, people engage with the *organismic valuing process*. That is the process by which person judge experiences in terms of their value for maintain or block our actualization and growth. Through this process person evaluate all life experiences by how well they serve the actualization tendency (cited in Ewen, 2003). It means that person

experience is perceived as promoting actualization are evaluated as good and desirable, person assign it as positive value.

Self-actualization is the highest level of psychological health. Rogers's conception of self-actualization is similar in principle to Maslow's, although they differ somewhat on the characteristic of psychological health (cited in Schultz, 2011). Rogers said, for person who has psychologically health are able to perceive themselves, other people, and events in their world much as they really are. They feel worthy under all conditions and situations, they able to use all their experiences. Person who is psychologically healthy can develop and actualize all facets of the self, proceeding toward the goal of becoming a fully functioning person (cited in Cloninger, 2003).

#### **2.1.5 Fully Functioning Person**

Rogers used the term fully functioning person for someone who is self-actualizing. These people are open to experiencing their feelings, did not feel threatened by those feelings no matter what they are. They trust their own feelings. They are open to the experiences of the world. They live lives full of meaning, challenge and fulfillment. Rogers said that the person who is fully functioning is the person who is the healthiest in psychological (cited in Cloninger, 2003). He or she is guided entirely by the organismic valuing process, and enjoys total self-acceptance.

Rogers (1961) explained that the fully functioning person is the one who has embraced existential living. In other words, they able to live fully in the moment. Rogers also explain that person who fully functioning has several

characteristic. These characteristics can be interpreted as sign of mental health. As Rogers explained in his book *On Becoming a Person* (1961, p. 187-192), The characteristics is openness to experience, existential living, trust feeling, and fulfilled life.

#### **2.1.5.1 Increasing Openness to Experience**

Rogers (1961, p. 187) explained openness to experience is the opposite of defensiveness. Receptive to the subjective and objective happenings of life. Being the organism's response to experiences which are perceived or anticipated as threatening, as incongruent with the individual's existing picture of himself/herself or in relationship to the world. If person could be fully open to his experience, however, every stimulus, whether originating with the organism or in the environment, would be freely relayed through the nervous system without being distorted by any defensive mechanism. On the contrary, whether the stimulus was the impact of a configuration of form, color, or sound in the environment on the sensory nerves, or memory trace from the past, or a deep sensation of fear or pleasure or disgust, the person would be living it, would have it completely available to awareness. The individual is becoming more able to listen to himself/herself, to experience what is going on within himself.

#### **2.1.5.2 Increasingly Existential Living**

This characteristic involves an increasing tendency to live fully in each moment. Rogers explained that the person who was fully open to new experience, completely without defensiveness, each moment would be new. The complex configuration of inner and outer stimuli which exists in this moment has never

existed before. One way of expressing the fluidity which is present in such existential living is to say that the self and personality emerge from experience, rather than experience being translated or twisted to fit preconceived self-structure. It means that one becomes a participant in and an observer of the ongoing process of organismic experience, rather than being in control of it.

### **2.1.5.3 Increasing Trust in Organism**

Rogers (1961, p. 189) explained that a person who is fully open to his/her experience would have access to all of the available data in the situation, on which to base his/her behavior; the social demands, his/her own complex and possibly conflicting needs, memories of similar situations, perception of the uniqueness of this situation. The consciousness participating, to consider each stimulus, need, and demand, its relative intensity and importance, and out of this complex weighing and balancing, discover that course of action which would come closest to satisfying all his/her needs in the situation.

As a person becomes more open to all of their experiences, they find it increasingly possible to trust their reactions. If they express anger, they do so, and find that this comes out satisfactorily, because they are equally alive to all of their other desires for affection, affiliation, and relationship. They are surprised at their own intuitive skill in finding behavioral solutions to complex and troubling human relationships. In other words, the individual integrates all these facets of experience and comes to an inner sense of what is right for him/her.

### **2.1.5.4 Fulfilled Life**



Rogers (1961, p. 191) explained this characteristic appears when the person who is psychologically free moves in the direction of becoming a more fully functioning. This person more able to live fully in each and all of his/her feelings and reactions. This person increasing use of all organic equipment to sense, as accurately as possible, the existential situation within and without. This person uses of all the information his/her nervous system can thus supply, using it in awareness, but recognizing that his/her total organism may be, and often is, wiser than his/her awareness. This kind of person more able to permit his/her total organism to function freely in all its complexity in selecting, from the multitude of possibilities, that behavior which in this moment of time will be most generally and genuinely satisfying.

## 2.2 Previous Study

In this subchapter, the writer finds some research that has some similarities with the writer's research. The first previous research that is used by the writer is *Defence Mechanism of Andy Dufresne in Stephen King's Rita Hayworth and The Shawshanks Redemption* had written by Noerliz Isnaini from Faculty Of Letters And Humanities State Islamic University Of Sunan Ampel Surabaya, 2016. The writer and the previous researcher use *Shawshank Redemption's* film as the object of the study but the theory that the writer's and Noerliz's research are different. Noerliz used sigmund freud's defence mecanism as ground theory but the writter uses theory of personality by carl roger as the main theory.

The second research that the writer used is from Putri Yulia, entitled *Personality Development of Two Characters in Principal Takes A Holiday* film.



In this research, Putri Yulia also using Carl Rogers personality theory, that is Self-Actualization which is same with the writer theory. The difference with the writer research here is in the object material, Putri Yulia analyze *Principal Takes A Holiday* film. Both of this previous studies, help the writer in analyze this research. From the first previous study, I use her definition of Andy's characterization to understand more about this main character, while the second previous study show me on how to write an elaboration of a picture.

### **2.3 Research Method**

In conducting the research, first the writer chooses *The Shawshank Redemption's* film as the object. This film tells about Andy Dufresne's life during in prison until he can escape from shawshank's prison.

Second, the writer decides the approach of psychological that used to analyze and classify the object of this study. The reason why the writer used psychological approach is because it deals with human being and the theory that is suitable to analyze this study is personality theory.

Third, the writer will be collecting the information about Andy Dufresne from the film. The writer watches *Shawshank Redemption's* film several times intensively to interpret the data.

Then, the writer will classify any information about Andy Dufresne that has been obtained from the film, based on the Psychological approach and Personality theory. The discussion in this research will be controlled by Carl Rogers development of the personality theory that include the theory of self-actualization and fully functioning person. After that, the writer analyzing on what

are the influential factors within Andy Dufresne 's self-actualization reflected on the material object by using Carl Rogers personality theory.



## CHAPTER III

### FINDING AND DISCUSSION

This focus of this chapter is to answer the statement of problems in chapter one. This chapter is divided into three parts. The first part consists of personality development of Andy Dufresne. Second, I analyzed about how Andy Dufresne's personality development influences the other characters. I use Carl Roger's theory of personality as the ground theory to analyze both of the problems.

#### 3.1 The Development of Andy's Personality

Andy Dufresne is the main character of *Shawshank Redemption's* movie. The story of the movie begins with Andy is captured because of the crime that he never did, which is kill his wife and her lover. Even though Andy never killed them, all the evidences they had found is proving that Andy Dufresne is guilty for the crime. Hence Andy is given 40 years old punishment by the judge. Then, he is sent to Shawshank's prison in 1947 and begins to serve his life inside the prison .

The life is not easy for Andy when he first time entered the prison. he has still felt frustrated because of the unfair punishment he received, furthermore the environment (the prison) is completely new for him. It is supported by Red's first impression when he met Andy from the first time :

“I admit I didn't think much of Andy first time I laid eyes on him. Looked like a stiff breeze would blow him over “.

(Source : *The shawshank Redemption, 1994, minute 00:11:57-00:12:01*)

The underlined statement above implies about how weak Andy is when the first time he entered to the prison from Red's perspective.

Red is one of another important character in *shawshank redemption* movie. he known as the man who can get whatever you want as long as it make sense. he is also be the narator of the film and Andy's closest friend.

” There must be a con like me in every prison in America. I'm the guy who can get it for you. Cigarettes, a bag of reefer, if that's your thing... bottle of brandy to celebrate your kid's high school graduation. Damn near anything within reason.”

**(Source : *Shawshank Redemption*, 1994 minute 00:08:29-00:08:41)**

Andy is a loner during almost one month in shawshank's prison. He never really interacts with the other prisoners. Until he finally speaks to Red and befriend with him after that. it is depicted in the Red's statement in minute 00:24:25 until 00:24:44, Red as a narator said :

“Andy kept pretty much to himself at first. I guess he had a lot on his mind. trying to adapt to life on the inside . Wasn't until a month went by before he opened his mouth to say more than two words to somebody. As it turned out that somebody was me”.

**(Source : *The Shawshank Redemption*, 1994, minute 00:24:25-00:24:44)**

From the naration above, it showed that Andy is starting to make an interaction with the other, mean that he finally begins to socialize with the other prisoner which is a good start for his development.

According to Carl Rogers (1959), for person to grow, they need an environment that provides them with genuineness (openness and self-disclosure), acceptance (being seen with unconditional positive regard), and empathy (being listened to and understood). It means that environment with its role and the condition has influences for a person to grow. In this research, Shawshank's prison is considered as the environment of Andy dufresne. It has its own rules,

society (prisoners, officers, etc), also the condition of the prison including food, water, air, and safety.

Rogers (1959) has explained that the self begins to develop when the person's experience becomes personalized and differentiated in awareness as *I* or *Me*. In this state, a person becomes more aware of their own identity as they learn what tastes good and what is not. Then they begin to evaluate as positive or negative, using a criterion that is perceived in their actualizing tendency. After that, they begin to establish their self-structure, and the tendency to actualize their self begins to evolve.

Every human has a basic tendency to maintain and enhance themselves. The need for maintenance is the very basic needs of a human being, such as need for food, air, and safety. After all these needs are satisfied, then a person becomes more developed and to achieve growth, it is called an enhancement. The needs for enhancement have a specific purpose, that is to achieve psychological growth.

### **3.1.1 The Need for Maintain**

The very basic needs for a human to live including air, water, food, and safety. It is called the need for maintenance or it can be called the physiological need, which is the basic in a person's needs to reach satisfaction. In order to survive the life of all living things need to fulfill these basic needs.

In *Shawshank Redemption* film, Andy does not find it hard to fulfill these basic needs. Prison as the environment of Andy provides food to be eaten, water to be drunk, and he also has a place to stay and sleep. It is supported by the pictures below :





3.1.1.1 a



3.1.1.1 b

**Figure 3.1.1.1** it shows Andy is eating and rest in his room (in cell)  
(Source : *Shawshank Redemption*, 1994, minute 00:21:10&01:14:48)

The picture above showed about the basic needs of Andy Dufresne is fulfilled during in the prison. Start from figure 3.1.1.1 a, it showed Andy was eating with some prisoners. Food is one of basic needs of human being to survive the life. Andy gets his daily meal in the prison, means that one the basic need of Andy is fulfilled. The second figure 3.1.1.1 b, it showed when Andy was resting in his cells. It is considered as Andy's room during in prison. Means that eventhough it was not a good place, Andy had his own place to stay. Another basic needs is fullfilled. So, it can be concluded that Andy dufresne has no obstacle in fullfilling basic needs such as food, drink, and safety.

### 3.1.2 The Needs for Enhancement

After all the basics needs to be satisfied, a person becomes more to develop and achieve growth, the other needs for enhancement. This need for enhancing the self is seen in people's willingness to learn things that are not immediately rewarding. These needs are lots of struggle to fulfilled. The struggle is expressed in a variety of forms such as curiosity, confidence, playfulness, self-exploration, and self-actualization that gives a positive impact for the person psychological. In order to develop and fulfilling this needs, a person needs to



engage with the environment, they need an acceptance and empathy from others. This need is to get in touch with individual experience in relationships with others. The needs for enhancement also to establish the person self-structure.

In *Shawshank Redemption* film, it depicted Andy's life in shawshank's prison. He has already fulfilled the basic needs for human being to grow. The next step Andy needs to has the relationship with the invironment. As the reasearcher stated above, Andy is full of himself in the first time he entered the prison, but as the time goes by, he becomes friendly to the other prisoners and even with the officers and the warden of the prison. Most of the scenes in film show his specialrelationship with the others prisoners like Brooks, Heywood, Floyd, Tommy and especially with his close friend Red. Despite of he has specialrelationship with some particular prisoners, he also has relationship with the officer of the prison "Captain Hadley" and the warden of the prison "Norton". Not to mention he also has enemies in the prison. they are called "the sister" with Bogs as the leader of the gang.

The specialrelationship of Andy and some particular prisoners are mostly shown in *Shawshank Redemption* movie. Andy has a lot of friend in the prison, they are Red, Brooks, Floyd, Heywood, and soon. the close relationship between them is depicted in the pictures below :



3.1.2.1 a



3.1.2.1 b



3.1.2.1 c

**Figur 3.1.2.1 it show the relationship with other prisoners  
(Source : *Shawshank Redemption*, 1994, minute  
00:41:21&00:54:52&01:11:13)**

The pictures above showed about close relationship of Andy with some particular prisoners. Start with figure 3.1.2.1 a, it showed Andy Dufresne and Red when they were watching a film. Both of them have big smile in their face, it happened when they made a joke about the character inside the film. The smile on their face implied that they started to understand each other joke. Andy was enjoying the small chat with Red like a close friend usually did.. Then figure 3.1.2.1 b, it was not far different from the first picture. It is shown his close relationship with the other prisoner “Brooks”. He is one of a veteran prisoner in shawshank prison. Brook is imprisoned by murdering case and be punished 50 years in Shawshank’s prison for that. He is known as Shawshank’s librarian. Andy becomes close with him because he was moved by the warden from working on laundry to the library, which is Brook usually at. The next figure shows how close Andy to some particular prisoners. it shows when all of them having food and start to make an easy conversation and laugh together. Despite of the picture, the dialogue of the scene is depicted that Andy become more open to the environment around him in this case to his friends. He started to give his

definition of toward music while everyone was listening to him. Mean he started to share what he thought on particular thing with others, in other word he became an open minded person which is a good development of him as a character.

Despite of he had close relationship with some particular prisoners, he also has enemies in the prison, they are called the sisters. It is a gang of an aggressive homosexual prisoners in Shawshank's prison. It is led by Bogs Diamond, a gang-rape who were targetting the new prisoners and Andy is no exception. They frequently attack and try to rape Andy in laundry room when the guards look the other way. Andy always fight them back eventhough he is mostly ended beaten by them, they never succeeded to rape him. it is supported by the statement of Red as a narator in *Shawshank Redemption* movie below :

"I wish I could tell you Andy fought the good fight and the Sisters let him be , I wish I could tell you that, but prison is no fairy-tale world. He never said who did it.. But we all knew. Things went on like that for a while. Prison life consists of routine... ...and then more routine. Every so often, Andy would show up with fresh bruises. The Sisters kept at him. Sometimes he was able to fight them off. Sometimes not. And that's how it went for Andy. That was his routine."

**(Source : *Shawshank Redemption*, 1994 minute 00:32:06-00:32:51)**

In order to develop , a person is not only need to be open minded to the people surround where he live, but a bad experiences also have an influences for a person to grow. Bad experience in Andy case in *Shawshank Redemption* film is targeted by gang-rape prisoners led by Bogs Diamond. They had fought each others frequently inside the prison. It is supported with Red statement above. This experience has a negative impact and positive impact for Andy. The negative impact is Andy oftenly beaten by Bogs and has several injury because of the fight,

but with this experience Andy also develop into a strong person who has solid conviction as the positive impact for his personality.

As the time goes by, Andy Dufresne changes from a person who only care about himself during the first month into a person who really cares about the others. it is shown when he and some of his friends do an outdoor job in spring of 1949. After two years in prison Andy finally shows his intellectuality regarding tax problem, which was used to be his job before he entered the prison. Captain Hadley as the guard for this job is known as the most cruel officers in Shawshank's prison, no one is dare to messing with him. At that time, Hadley is speaking with the other guard about his tax problem while Andy listens to their conversation and with confident and his bravery he comes close to Captain Hadley and start to interact with him. He said to him that he can help to resolve his tax problem, but as a return he asks two bottles of beers for every workers until the job is done. The moment of it is depicted in the pictures below :



3.1.2.2 a



3.1.2.2 b



3.1.2.2 c



**Figure 3.1.2.2 Andy and his friend when have an outdoor job**  
 (Source : *Shawshank Redemption*, 1994 minute  
 00:34:11&00:36:05&00:37:37)

The pictures above show about some moments of Andy dufresne and some prisoners during an outdoor job. It starts from figure 3.5 a, it shows Andy and some prisoner are painting the roof while the Captain Hardley are speaking with his fellow office. The picture also shows that Andy is looking to the captain hardley direction , it implies that Andy is interested with the topic of the Captain's conversatition which is about tax problem of captain hardley. And then Andy comes close to him and tries to help the Captain to resolve the problem. Figure 3.5 b, it shows Andy is in the edge of rooftop and Captain Hardley is ready to throw him down. Andy shows his bravery and confident while speaking with the captain who is the most cruel person in the prison that is ready to pull him down if he did not speak about the truth to him, but Andy is not afraid because he is speaking the truth. He uses his knowlegment of tax to help the Captain problem, and asks two beers for every prisoners. The last figure shows about the workers is enjoying beers. The beers as the promise has been given, it implied that Andy had succeeded to resolve Captain Hadley problem. From the case above, it shows that Andy is a humble person who cares about his friend and he is happy for doing that as is depicted in the narative below :

” That on the second-to-last day of the job. the convict crew that tarred the factory roof in the spring of '49, wound up sitting in a row at 10:00 in the morning drinking icy-cold beer, courtesy of the hardest screw that ever walked a turn at Shawshank State Prison. The colossal prick even managed to sound magnanimous.. We sat and drank with the sun on our shoulders and felt like free men .. As for Andy he spent that



break hunkered in the shade a strange little smile on his face watching us drink his beer.”.

**(Source : *Shawshank Redemption*, 1994 minute 00:37:24-00:38:15)**

Andy never expected what he had done to Captain Hadley will be trigger something that really changes him into the most impactful person in the prison. Warden of the prison “Norton” come to Andy and asked him to handle his entire entry. It did not stop with the warden, he is also handle the tax of the entire officers in the prison and the officers from the other prison as well. it is depicted in this narative :

” The following April, he did tax returns for half the guards at Shawshank. Year after that, he did them all including the warden's Year after that, they rescheduled the intramural season to coincide with tax season. The guards on the opposing teams all remembered to bring their W-2s”.

**(Source : *Shawshank Redemption*, 1994 minute 00: 56:22-00:56:42)**

The statement above shows that the life of Andy dufresne in the prison is completely change. He became a very busy man who is doing his job just like in the outside of the prison. Andy proving himself as the most impactful person in the prison with his intelectuality and diligence and with that Andy can move freely in the prison with everyone in his side.

The spirit of Andy Dufresne still continues. He wants to upgrade prison’s library, he started to write a letter one a week for state senate to get the money for that. After six years he has written the letters in every week for the state senate he finally got the answer. he gets some book and 200 dollars case. It depicted on Andy statement bellow :

“Dear Mr. Dufresne: In response to your inquiries the state has allocated the enclosed funds for your library project. This is \$200. In addition, the library district has generously

responded with a donation of used books and sundries. We trust this will fill your needs. We now consider the matter closed. Please stop sending us letters."

(Source : *Shawshank Redemption*, 1994 minute 01:06:11-01-06-36)

Andy did not stop sending the letters. He kept sending them the letters but now he send two letters in every weeks. It imply that Andy is the man with the great conviction. He never gives up for his will. It is to builds more better library in the prison and as the result, he gets more books and 500 dollars cash and the library finally get the renovation. The prison's library finally become a better place to be stayed as it is shown in the picture below :



3.1.2.3 a



3.1.2.3 b

**Figure 3.6 the difference between the old library and the new library**  
(Source : *Shawshank Redemption*, 1994 minute 00:52:36&01:18:03)

Finally, after Andy Dufresne had been succeeded in satisfying their basic needs of human personality, which is included the needs for maintaining the self and the needs for enhancing the self. he became successful in build a relationship with others and receiving a full acceptance from others. In Carl Rogers view, this person is successful in living with his/her own organismic valuing process, that is the person who can live freedom in expressing his self. This person is totally

successful in the case of evolving their psychological. They are ready to achieve the next level of personality, that is to fulfill the needs for actualizing them self.

Self-actualization is the highest level of psychological health in Carl Rogers's theory. The self-actualization is about the growth and movement toward the realization of human potentials. The needs toward self-actualization are innate, but the conditions of their self-structure have a key position in order to achieve it. This person needs to utilize their organismic valuing process in the relationship with the environment. According to Carl Rogers (1959), self-actualization is a good life that person had achieved. This good life is a process and direction, not a destination. It is the process of moving in a direction which the human organism selects when inwardly free to move in any direction, and the general qualities of this selected direction appear to have a certain universality. The self-actualization person always struggles to achieve the life like they wanted. In other words, they are kinds of independence person that need a freedom of expressing their selves.

After he learns the real murderer of his wife and the lover from Tommy. He is ready to left Shawshank prison, he think that whatever what he has done in the past is already paid during his imprison. He finally escape from the prison using hole that is created with his a small hammer. Andy Dufresne finally becomes absolute free man who has his own life and rules. He achive all of his dream which are live in a small pasific island, buy a broken boat and fix it later and soon. he finally actualizes himself as the highest level of human being.

### 3.2 Andy Personality Development Influences the other Characters

Despite of Andy Dufresne as the main character of the film, there are several characters that was appeared in the film as the supporter of the main character. According to Karen Bernado's *characteriation in literature* (2017), there are several types of character in film. There are major character, minor characters, dynamic characters, static characters, round characters, and flat characters.

#### 1. Major Character

Major character is characters are vital to the development and resolution of the conflict. On other words, the plot and resolution of the conflict resolves around these character. In *Shawshank Redemption* film there are two major characters :

Andy Dufresne : he is a major character because he is often shown in the film from the very beginning until the end of the story. And the film shown about his life in the prison until he finally escape.

Ellis Boyd 'Red' : he is an inmate of Andy Dufresne in Shawshank Prison and also the narrator of the story. The life of Red is shown until the end of the story.

#### 2. Minor Character

Minor character is serve to complement the major character and help move the plot even foward. Base on the definition above, there are a lot of minor characters that appear in *Shawshank Redemption* film. they are :

Brooks Hatlen : he is the one who make Andy feel trigger and make the decision to renovate the library of the prison.

Tommy : He is not oftenly appear in the film, but the death of him make Andy convince to escape from the prison.

Warden Norton : The man is taking advantage of Andy Dufresne's skill in tax to cover his corruption. He is also one of person who make Andy triggers to escape from the prison.

Bogs Diamond : He frequently attacks Andy in prison, it indirectly makes Andy become a strong person.

Captain Hadley : Beats Bogs Diamond until make him not capable of walking, and make him be transferred to another prison. After that, Andy never be targeted by the sisters.

### 3. Dynamic Character

Dynamic character is a person who changes over time, usually as a result of resolving a central conflict or facing a major crisis. Base on that definition, at least there four characters who have changes over time in *Shawshank Redemption* film.

Andy Dufresne : He is a dynamic character who change his personality from being an anti-social person into the most impactful person in the prison.

Ellis Boyd 'Red' : Because of Andy, he becomes a better person who has completely different view on life. It mean that he is one of a dynamic character who change over time.



Tommy : He changes from a bad boy who has done many crimes in the past and has no interest in such of education into a person who has a will to learn to read and write.

Captain Hadley : The cruel person in the prison that never been friendly to the prisoners until Andy makes him on his side and willing to revenge Andy's problem with Bogs Diamond.

#### 4. Static Character

Static character is someone who does not change over time; his or her personality does not transform or evolve. Base on statement above, in *Shawshank Redemption* film is shown some of this characteristic which are not oftenly appears in film or has no any changes of them during the story progression. They are Heywood, Floyd, Jigger, Skeet, Snooze, Guard Mert, Guard Trout, Ernie, Guard wiley, Bank Manager, Tyrell, Laundry Leopard, Pete, and Fat Ass.

#### 5. Round Character

Rounded character is anyone who has a complex personality; he or she often portrayed as a conflicted and contradictory person. Base on that definition they are two characters who have this characteristic.

Warden Norton : He is portrayed as the man of God who always read the Bibles, in other hand he also portrayed as the tricky man who takes advantage from another people to do his dirty job. He is the biggest corruptor in this film.

Elmo Blatch : He is the true murderer of Andy's wife and the lover. He can be friendly to other prisoner and sometimes even make joke with them, but he is also portrayed as a psychopath who kills the people only because of his bad mood.

## 6. Flat Character

This literary personality is notable for one kind of personality trait or characteristic. There are a lot of character that are appears in *Shawshank Redemption* film with this characteristic. Most of them , only appear once in the film , which make me categorize them as the flat character because does not really know how they really are. They are Heywood, Floyd, Jigger, Skeet, Snooze, Guard Mert, Guard Trout, Ernie, Guard wiley, Bank Manager, Tyrell, Laundry Leopard, and Pete, fat ass.

In this research, I only use four characters whom influenced by Andy's development. it was because I thought four of them had the most significance help or change because of Andy's development. The characters are Red, Brooks, Heywood, and Tommy.

### 3.2.1 Andy changes Red's view of Hope

During in the prison, Andy and Red ever argue each other about hope when they have lunch in the prison. Red believe hope is a dangerous thing while Andy always believe that every person has a good thing inside, the good thing that

never disappears in a person, it is hope. It is supported by the dialogue of Andy and

Red below :

Andy Dufresne : There are place in the world that are'nt made of out  
stone

Andy Dufresne : There is something inside that they can't get to that  
they can't touch. That yours

Red : What are you talking about?

Andy Dufresne : hope.

Red : hope. Let me tell you something my friend. Hope is  
a dangerous thing. Hope can drive a man insane.It's  
got no use on the inside. You'd better get used to  
that idea. (*walk away from his seat*).

**(Source : *Shawshank Redemption*, 1994 minute 01:12:02- 01:12:45)**

From the dialogue above, it is shown that Red and Andy has completely  
different view on hope. Red define hope as a negative thing that much be avoided  
or he implied that he is afraid to hope , on other hand Andy define it as a positive  
thing which is not touchable and invincible that every person should has it. Then  
the scene is shown Red leaving his sit after speak what he think about hope. It is  
implied that Red is strongly disagree with Andy's point of view on hope.

Then Andy prove his words with his action. He manages to escape  
shawshank's prison and surprise everybody in the prison including Red. He only  
use his small hammer to create a hole in his cell which is impossible thing to do in  
the eyes of everyone. Only man with a great hope and hard work who can  
possibly doing this work. Red is always smile while he remembered about Andy.

Before Andy escaped the prison, he made a promise with red. He asks Red  
to come into some particular place if Red is free from the prison. Then, the time  
for Red get his freedom is coming, as their promise Red come to place and find a  
box contain of money and a letter from Andy. The letter is said :

” Dear Red: If you're reading this, you've gotten out and if you've

come this far, maybe you'd come a bit further. You remember the name of the town, don't you? I could use a good man to help me get my project on wheels. I'll keep an eye out for you, and the chessboard ready. Remember, Red... hope is a good thing maybe the best of things. And no good thing ever dies. I will be hoping that this letter finds you and finds you well. Your friend : Andy”

(Source : *Shawshank Redemption*, 1994 minute 02:15:18-02:16:00)

From the underlined statement above, it is shown Andy's view on hope, which is it is a good thing maybe the things and no good thing ever dies. Red is crying when he read the letter from Andy. He is finally not afraid to hope for something as it is depicted on Red's speech below :

“A free man at the start of a long journey whose conclusion is uncertain. I hope I can make it across the border. I hope to see my friend and shake his hand. I hope the Pacific is as blue as it has been in my dreams. I hope.”

(Source : *Shawshank Redemption*, 1994 minute 02:17:17-02:17:40)

### 3.2.2 Save Brooks and Heywood

Andy is a good mediator, with his words Andy succeeded to restrain Brooks who is about to kill Heywood. It happens when Brooks gets his parole's come true, Heywood comes to him and says farwell then suddenly Brooks puts a knife into Heywood's neck. As it is depicted in the picture below :



Figure 3.7 It shows Brooks intent to kill Heywood

(Source : *Shawshank Redemption*, 1994 minute 00:57:44

The figure 3.7 shows about Brooks is putting a knife on Heywood neck. It is implied that Brook wants to kill him at that moment. Red and Jegger try to chill Brooks but they are fail to doing that. As It is supported by the dialogue of that scene below :

Jegger : please, Brooks. Calm the fuck down  
 Brooks : Stay back!  
           Stay back, goddamn it !  
 Red : (*Andy and Red arrive*) what's going on?  
 Jegger : One second he's fine, then out come the knives  
 Red : We can talk about this, right?  
 Brooks : There's nothing to talk about.I'll cut his fucking throat  
 (Source : *Shawshank redemption*, 1994 minute 00:57:27-00:57:42)

Base on dialogue above, Brooks keep saying “stay back” when they try to come close to him with high intonation. He also says that he does not want to talk anymore and still want to kill Heywood. It means that both Red and Jegger are fail to make Brook to be calm. But it changes when Andy comes to Brooks, then Andy starts to talk with him calmly and finally Brooks listens to him and let Heywood away. it is depicted in the dialogue below :

Andy Dufresne : You won't hurt Heywood. We all know that  
                   Right, Heywood?  
 Heywood : Sure  
 Andy Dufresne : He's a friend of yours, and Brooks is a reasonable  
                   man.  
                   So put the knife down. Look at me  
                   Put the knife down  
                   Look at his neck, for God's sake  
                   Look at his neck. He's bleeding  
 Brooks : it's the only way they'd let me stay  
 Andy Dufresne : This is crazy. You don't want to do this  
                   Put it, put it down.  
                   (*Brook let Heywood go*) Take it easy  
                   You'll be all right



(Source : *Shawshank Redemption*, 1994 minute 00:57:48-00:58:33)

From dialogue above, it shows that Andy with his words can makes Brooks to be calm. It is different from Red and Jigger which use more direct words to stop Brooks, Andy uses kind words to praise Brooks. Remind him of who he really is, put his trust in him. As the result, Brooks is listened to Andy and begin to cry. Andy comes to him and keeps chilling Brooks by saying it will be fine.

In other word, Andy had succeeded to save them both. For Brooks , he does not do something stupid in this case murdering his friend. As for Heywood, he is still remain breathing.

### 3.2.3 Teaches Tommy and Make him pass his exam

Tommy is a bad boy that oftenly come and out from a lot of prisons in America. His life is full of crime like stealing, robbing, fighting and soon, which is him like a complete rubbish. He can not even read properly. Until one day, he gets news from that he becomes a father to his child. At those point, he wants to change his life, he wants to get a normal job after he is free from the prison. In order to that, he needs to get his high school equivalency first. Then he comes to Andy for a help as it is depicted in dialogue and the narative below :

Tommy : Just thinking maybe I might try for my high school equivalency. Hear you helped a couple of fellas with that

Andy Dufresne : I don't waste time with losers, Tommy

Tommy : I ain't no goddamn loser.

Andy Dufresne : You mean that?

Tommy : Yeah

Andy Dufresne : You really mean that?

Tommy : Yes, sir, I do

Andy Dufresne : Good. Because if we do this we do it all the way,a

hundred percent, nothing half-assed

(Source : *Shawshank Redemption*, 1994 minute 01:26:11-01:26:40)

And Red as the narator describe how it works in narative below :

” So Andy took Tommy under his wing. Started walking him through his ABC's. Tommy took to it pretty well too. Boy found brains he never knew he had. Before long, Andy started him on his course requirements. He really liked the kid. Gave him a thrill to help a youngster crawl off the shit heap”.

(Source : *Shawshank Redemption*, 1994 minute 01:27:01-01:27:25)

From both the dialogue and narative above, it is shown that Andy is willing to teach Tommy how to read and write. He teaches him with all patiently and he also mean to help Tommy to walk out from his worse life like he was to be. It is depicted in the underline words of the narative above.

What Andy has taught to Tommy is never wasted, finally Tommy succeeds to pass his exam and get his high school equivalency. Both Tommy and Andy are smiling for their result.

## CHAPTER IV

### CONCLUSION AND SUGGESTION

The last chapter consist of the conclusion for the research and the suggestion from me for the next researchers.

#### 4.1 Conclusion

The Shawshank Redemption film tells about the life of Andy Dufresne as the major character in the film during the time he had been held in Shawshank's prison. it begins when Andy came to Shawshank for murdering his wife and her lover, Andy was innocence of murdering but Andy has condemned spending the rest of his life in prison. I did this researh to explain about how Andy Dufresne's personality development during the time he was in prison.

I use Carl Roger's personality development theory to help me to find the reason behind the development of Andy Dufresne from his depression and anti-social person into the most influential person in shawshank's prison.

The development of Andy's personality has happened because he gets all what he need during the prison to support his development. The first is the basic needs of human being such as food, drink, and place to rest in order to survived the life. The second one is friends, in order to develop his personality he need to socialize with the people surround him. it make him become an open minded person who happily shared each others experiences and also about how his view on some particular cases. With that relationship Andy can finally get the acceptance which is

important part of his development. The third is obstacle, in prison Andy was struggling against the homosexual-gang. They fight each others many time, and Andy never give up to them. It make Andy become atrong person with a strong conviction as well.

So, Andy Dufresne has grown well during the prison's time because of the basic needs are fulfilled, the influences of the invironment, and his skill. Hence he became fully funtional person in the prison and managed to escaped in the end of the film as implication for a free man.

#### **4.2 Suggestion**

first of all, I think Personality development is really good topic to be explained. Aside from Andy Dufresne, there is one other important character in this film named Red. My suggestion for the next reseacher is, use Carl Roger's personality development theory to find out about Red's development in Shawshank Redemption's film.

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